



Goodwyn Mills Cawood  
11 N. Water St., Suite 19290  
Mobile, Alabama 36602  
T 251.460.4006

## TRANSMITTAL COVER SHEET

**DATE:** January 16, 2026  
**TO:** ALL CONTRACTORS  
**FROM:** DUSTINE TILL  
**PROJECT:** ROSCOE ROAD WATER TREATMENT PLANT  
ORANGE BEACH WATER AUTHORITY  
GMC PROJECT NO. CMOB230049  
**RE:** ADDENDUM #1

**PLEASE COMPLETE BELOW AND RETURN IMMEDIATELY.**

Ashley Morris  
Email: [ashley.nobles@gmcnetwork.com](mailto:ashley.nobles@gmcnetwork.com)

I, the undersigned, hereby acknowledge receipt of this Addendum.

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Authorized Representative of Contractor

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Date

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Company Name

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Telephone

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Contractor's License Number (if applicable)



## ADDENDUM NUMBER 1

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ROSCOE ROAD WATER TREATMENT PLANT

FOR

ORANGE BEACH WATER AUTHORITY

GMC PROJECT No. CMOB230049

### 1. General

1.1 The following revisions are hereby added as Addendum No. 1 to the referenced Project Manual and Plans and shall be considered when preparing bids.

### 2. Prequalifications of Contractors

2.1 Prequalification Proposals (Letters of Interest (LOI) and Statements of Qualifications (SOQ)) from General Contractors (Construction Service Firms) shall be submitted and will be received by Orange Beach Water Authority Board Chairman, Vicent D. Lucido, at 25097 Canal Road; Orange Beach, AL 36561; UNTIL **2:00 PM LOCAL TIME; ON FRIDAY, JANUARY 30, 2026**, for:

ROSCOE ROAD WATER TREATMENT PLANT

ORANGE BEACH, ALABAMA

(Local Funds)

for consideration of approval to bid this project. Prequalified Bidders will be notified in writing on or about FRIDAY, FEBRUARY 6, 2026.

2.2 Instruction for SOQ packages may be requested from the offices of Goodwyn Mills Cawood, LLC., Consulting Engineers by emailing [Ashley.Nobles@gmcnetwork.com](mailto:Ashley.Nobles@gmcnetwork.com).

2.3 As one of the conditions of submitting a SOQ, it must be understood that knowledge and experience is extremely important. Therefore, the construction firm will have to submit evidence and proof acceptable to Orange Beach Water Authority of his ability, knowledge, experience, training of supervisory personnel and labor, and will be required to submit evidence and proof that construction firm has performed work of a similar nature within the last twenty-four (24) months and that such work was satisfactorily and safely completed.

2.4 Qualifications of any sub-contractor(s) used to obtain prequalification status are to be included in the firm's statement of qualifications.

2.5 The Owner reserves the right to reject any or all proposals, to waive technical errors, and/or abandon this process if, in their judgment, the best interests of the Owner will thereby be promoted.

2.6 All General contractors seeking prequalification status are required to submit the prequalification documents enclosed and clearly marked on the outside of the package as follows: **CONFIDENTIAL – STATEMENT OF QUALIFICATIONS – ROSCOE ROAD WATER TREATMENT PLANT, Orange Beach Water Authority, ATTN: Vicent D. Lucido, 25097 Canal Road, Orange Beach, AL 36561.**

2.7 **Sealed bid proposals will be received only from Prequalified General Contractor Bidders** by Orange Beach Water Authority Board Chairman, Vincent D. Lucido, at 25097 Canal Road, Orange Beach, AL 36561; UNTIL 5:00 LOCAL TIME, **ON THURSDAY, MARCH 19, 2026.**



**3. Attachments**

- 3.1 Request for Statement of Qualifications & Letter of Interest for Prequalifying Construction Companies
- 3.2 Attachment A – Qualification Statement Evaluation
- 3.3 Attachment B – Bidder's Financial Statement

**4. Acknowledgement of Receipt**

- 4.1 Receipt of Addendum No. 1 shall be acknowledged in two ways:
  - 4.1.1 Note (EJCDC C-410) page 3 of Bid Form – Bidder acknowledges receipt of "Addendum No. 1" and date of "January 16, 2026".
  - 4.1.2 EMAIL the signed transmittal sheet to [ashley.nobles@gmcnetwork.com](mailto:ashley.nobles@gmcnetwork.com) to confirm the addendum has been received and is legible

**AND**

**5. Conclusion**

- 5.1 This is the end of Addendum No. 1, dated Friday, January 16, 2026.

**REQUEST FOR STATEMENT OF QUALIFICATIONS & LETTER OF INTEREST**

**FOR**

**PREQUALIFYING CONSTRUCTION COMPANIES**

**FOR**

**ROSCOE ROAD WATER TREATMENT PLANT**

**Project Number: CMOB230049**

For

**ORANGE BEACH WATER AUTHORITY**

Vincent D. Lucido  
25097 Canal Road  
Orange Beach, AL 36561

## **1. INSTRUCTIONS TO PREQUALIFICATION RESPONDERS**

- 1.1.** Orange Beach Water Authority shall receive “Letter of Interest” (LOI) and “Statement of Qualifications” (SOQ) at the Orange Beach Water Authority office on or before **2:00 PM CST, Friday, January 30, 2026.**
- 1.2.** The project consists of the construction a 10 MGD greenfield water treatment facility. The facility includes unit processes of induced draft aeration, rapid mixing, flocculation, gravity multimedia filtration, clearwells, chemical feed and high service pumps. Chemical feed systems include on-site sodium hypochlorite generation system, bulk sodium hydroxide and orthophosphate. A backwash waste tank shall also be constructed that collects filter backwash water and pumps it to the sewer. The project also includes the construction of a lab and office building, chemical building and maintenance building. The project involves substantial concrete work, piping, electrical construction, SCADA work and site/civil work. The SCADA Integrator has been pre-selected by the owner.
- 1.3.** Orange Beach Water Authority shall evaluate the SOQ and establish a list of firms determined to be qualified to provide construction services.
- 1.4.** A committee of four (4) consisting of technical staff and consulting engineers chosen by the supervisor shall evaluate and rank Construction Firms as the committee deems are most qualified to provide the required services.
- 1.5.** Four (4) copies of the SOQ Proposal must be submitted by the deadline.

## **2. EVALUATION OF STATEMENT OF QUALIFICATIONS**

- 2.1.** For the Construction services, the Orange Beach Water Authority Selection Committee shall evaluate all statements of qualifications received. The committee shall then select and rank all firm(s) it considers to be qualified to provide the construction services with for the described project.
- 2.2.** Qualifications of any sub-contractor(s) used to obtain (pre)qualification are to be included in the firm's statement of qualifications.
- 2.3.** Evaluations of SOQ and ultimate selection of pre-qualified firms are based on Attachment A, Qualification Statement Evaluation, attached herein.
- 2.4.** The Committee may verify any information in the package submitted by the applicants and may conduct investigations as deemed necessary to assist in the evaluation of the applicant's qualifications, experience, financial capacity and responsibility.
- 2.5.** Applicants may be automatically disqualified for falsification of information; failure to provide any portion of the requested documentation; or not meeting essential requirements for qualification that are in the best interest of the utility. The owner reserves the right to reject

any or all applications and/or abandon this process if, in their judgment, the best interest of the utility will be promoted.

### **3. STATEMENT OF QUALIFICATIONS (SOQ) CONTENTS**

Statement of Qualifications (SOQ) should include, but is not limited to, the following:

- 3.1.** Cover letter and letter of interest (LOI).
- 3.2.** Name of firm, address and telephone number. A primary contact with phone number and email shall also be provided.
- 3.3.** Contractor's Alabama General Contractor's License Number and Classification. List of all Alabama Contractor's licenses, their associated numbers, classifications and expiration dates held by the firm.
- 3.4.** Provide the following information regarding financial history:
  - 3.4.1. Copy of firm's latest audited financial statement.
  - 3.4.2. Complete Attachment B, 'Bidder's Financial Statement.'
  - 3.4.3. Statement of firm's gross revenue for each of the last three (3) years.
  - 3.4.4. Statement confirming firm is not the debtor in a bankruptcy case. If firm is a debtor, attach copy of bankruptcy petition, including case number and the date on which the petition was filed.
  - 3.4.5. Statement of firm's bankruptcy history for past ten (10) years including bankruptcy petition, case number, date petition was filed, and a copy of the Bankruptcy Court's discharge order, or of any other document that ended the case, if no discharge order was issued.
- 3.5.** Provide the following information regarding insurance and surety:
  - 3.5.1. Statement providing the liability insurance policy with at least the following policy limits: \$1,000,000 per occurrence and \$2,000,000 aggregate, \$1,000,000 Worker's Compensation and Employer's Liability, \$10,000,000 General Umbrella Policy and Excess Liability. Provide the limits of liabilities with current coverage.
  - 3.5.2. Statement confirming that your contractor's license has not been revoked or suspended at any time in the last five years.
  - 3.5.3. Contractor's Expected Bonding Capacity at the date of bid. Provide a notarized statement from an admitted surety insurer and authorized to issue bonds in the State of Alabama,

which states that your current bonding capacity is sufficient for the project herein (>\$10,000,000)

- 3.5.4. List and contact names and numbers for all of the surety companies who have written bonds for your firm in the past five years.
- 3.5.5. Statement confirming that a surety company has not completed a contract on your behalf or paid for the completion because your firm was terminated by the owner within the last 10 years.

**3.6. Provide information regarding the below company legal performance:**

- 3.6.1. At any time in the last five years, has your firm been assessed and/or paid liquidated damages after completion of a project under a construction contract with either a public or a private owner?

If yes, explain, identifying all such projects by owner, owner's address, phone number, the date of completion of the project, amount of liquidated damages assessed and all other information necessary to fully explain the assessment of liquidated damages.

- 3.6.2. In the last five years has your firm, or any firm with which any of your company's owners, officers or partners was associated, been debarred, disqualified, removed or otherwise prevented from bidding on, or completing, any government agency or public works project for any reason?

If yes, explain. State whether the firm involved was the firm applying for pre-qualification here or another firm. Identify by name of the company, the name of the person within your firm who was associated with that company, the year of the event, the owner of the project, the project and the basis for the action?

- 3.6.3. In the last five years has your firm been denied an award of a public works contract based on a finding by a public agency that your company was not a responsible bidder?

If yes, explain. Identify the year of the event, the owner, the project and the basis for the finding by the public agency.

- 3.6.4. In the past five years has any claim against your firm concerning your firm's work on a construction project been filed in court, administrative proceeding, or arbitration?

If yes, identify the claim(s) by providing the project name, date of the claim, name of the claimant, a brief description of the nature of the claim, the court, administrative proceeding, or arbitration, in which the case was filed and a brief description of the status of the claim (pending or, if resolved, a brief description of the resolution)

- 3.6.5. List any lawsuits or administrative actions to which the Applicant is currently a party or has been a party (either as a plaintiff or defendant) during the past five (5) years with

regard to municipal or utility work. For each suit, list all parties and indicate whether any party was a bonding company, insurance company, architect/engineer, vendor, subcontractor, an Owner or other. Identify the project, giving rise to the suit or administrative action; explain the basis of the claim, and whether a settlement was reached or a judgment entered into for or against the Applicant or the Applicant's bonding company or insurance company.

**3.7.** Provide information below regarding company compliance with OSHA and other jurisdictions:

3.7.1. Has OSHA cited and assessed penalties against your firm for any "serious," "willful" or "repeat" violations of its safety or health regulations in the past five years?

If "yes," describe the citations, including information about the dates of the citations, the nature of the violation, the project on which the citation(s) was or were issued, the amount of penalty paid, if any. If the citation was appealed to the Occupational Safety and Health Appeals Board and a decision has been issued, state the case number and the date of the decision. NOTE: If you have filed an appeal of a citation, and the OSHA Appeals Board has not yet ruled on your appeal, you need not include information about it.

3.7.2. Has federal OSHA cited and assessed penalties against your firm in the past five years?

3.7.3. NOTE: If you have filed an appeal of a citation and the Appeals Board has not yet ruled on your appeal, or if there is a court appeal pending, you need not include information about the citation.

If "yes," describe each citation.

3.7.4. Has the contractor been fined, issued an order, issued an enforcement violation, or cited by any Alabama state agency including, but not limited to, The Alabama Department of Transportation, Alabama Department of Environmental Management, Alabama Department of Public Safety, or Alabama Department of Public Health?

If "yes," describe each citation.

3.7.5. How often do you require documented safety meetings to be held for construction employees and field supervisors during the course of a project?

3.7.6. Provide a statement that the Contractor has a safety program and substance abuse policy and submit an overview of the safety program and substance abuse policy/program.

**3.8.** Provide information regarding the below Technical Experience:

3.8.1. Provide the following complete description of each project that the firm has completed or on which it is currently working in the past 3 years that are relevant in the field of water and wastewater treatment construction or large utility infrastructure construction:

- Owner, Engineer and Contractor's project manager/superintendent information
- Owner and Engineer names and contact numbers
- Contract completion period versus actual completion time and explanation
- Bid amount and final contract amount, with change order amounts and explanation
- Any claims, disputes or litigation by or against the Contractor

3.8.2. The Contractor must have two (2) projects of greater than \$10 Million in the past 5 years. Provide contact names and numbers of the Owners of these projects. Also, provide a list of any equipment installed in the facility with corresponding contact information for the suppliers.

3.8.3. A statement detailing the firm's Quality Assurance (QA) Program.

3.8.4. Describe the methodology used by the firm/contractor to maintain a project schedule. Detail what system is currently used for construction scheduling and if scheduling will be provided in house. Describe measures that the contractor will take to keep the construction on schedule.

3.9. Names, qualifications, and experience of a principal/owner (contractual authority), a project manager, and key personnel (Superintendent) who would be assigned to Orange Beach Water Authority project. This information should include, but not be limited to, the following for each individual (a one page limit for each): Number and type of projects in which each individual has been involved (no project should be more than 5 years old), Experience, Other Qualifications and/or Certifications.

#### **4. NOTIFICATION**

4.1. Orange Beach Water Authority will not be responsible for costs incurred by anyone in the submittal of RFQ proposals or for any cost incurred prior to any contract execution.

4.2. The Request for Qualifications is not to be construed as a contract or as a commitment of any kind;

4.3. All responses shall be held confidential from other parties by Orange Beach Water Authority to the extent allowable by law. However, confidential or sensitive information should not be included if the applicant wants to protect that information;

4.4. The use of subcontractors as described by the Consultant in the Request for Qualifications and subsequent approved and signed contract with the Orange Beach Water Authority is allowed in this project;

4.5. The selected Construction Firms will be responsible for billing and paying of any subcontractors they employ on the project;

4.6. The selected Constructed Firms is responsible for insurance requirements;

**4.7.** Orange Beach Water Authority reserves the sole right to:

1. Evaluate the qualifications submitted;
2. Waive any irregularities within;
3. Select candidates for the submittal of more detailed qualifications and presentation;
4. Accept any submittal or portion of a submittal; and/or
5. Reject any or all submittals, solely at its discretion.

**5. APPLICATION DEADLINE**

**2:00 p.m. on Friday, January 30, 2026**

**Submit RFQs to:**

**Orange Beach Water Authority  
Vicent D. Lucido  
25097 Canal Road  
Orange Beach, AL 36561**

**6. ACKNOWLEDGEMENTS**

The documentation and experience provided herein is directly applicable to the applicant named below and not a previously existing but now non-existing firm, nor an affiliated company, parent firm or entity.

The applicant's name indicated below will be the exact name under which a proposal for the work described would be submitted and contract executed should the bid be successful.

The applicant also acknowledges that all costs associated with preparing this package shall be the responsibility of the applicant, even in the event that the applicant is deemed "Not-Qualified."

I, the undersigned, certify and declare that I have read all the answers herein to this prequalification questionnaire and know their contents. The matters stated in the questionnaire answers are true and complete according to my own knowledge and belief. I declare under penalty of perjury under the laws of the State of Alabama, that the foregoing is correct.

Signature: \_\_\_\_\_  
(Name)

Title: \_\_\_\_\_

Organization Name: \_\_\_\_\_

**Orange Beach Water Authority**

RFQ for Project No. CMOB230049

Subscribed and Sworn under oath before me this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_.

Notary Public: \_\_\_\_\_

My Commission Expires: \_\_\_\_\_

**ORANGE BEACH WATER AUTHORITY: ATTACHMENT A**  
**QUALIFICATION STATEMENT EVALUATION**

| <b>Firm Name:</b>        |   |               |          |               |              |
|--------------------------|---|---------------|----------|---------------|--------------|
| Proposal Evaluation Form |   |               |          |               |              |
| Project:                 | <b>ROSCOE ROAD WATER TREATMENT PLANT</b>  |               |          |               |              |
| Evaluator:               |   |               |          |               |              |
| Date:                    |   |               |          |               |              |
| <b>Category</b>          | <b>Criteria</b>   | <b>Rating</b> | <b>X</b> | <b>Weight</b> | <b>Score</b> |
| GENERAL                  | 3.1 Cover Letter and Letter of Interest   |               |          | 5             |              |
|                          | 3.2 Firm's location(s) and contact information  |               |          | 5             |              |
|                          | 3.3 Contractor's GC license number, classification & expiration dates   |               |          | 5             |              |
|                          | <b>SUBTOTAL OF POINTS</b>   |               |          |               |              |
| FINANCIAL HISTORY        | 3.4.1 Firm's latest audited financial statement   |               |          | 5             |              |
|                          | 3.4.2 Completed Attachment B  |               |          | 5             |              |
|                          | 3.4.3 Statement of firm's gross revenue (three years)   |               |          | 5             |              |
|                          | 3.4.4 Statement regarding current bankruptcy status   |               |          | 5             |              |
|                          | 3.4.5 Statement regarding firms' bankruptcy history (ten years)   |               |          | 5             |              |
|                          | <b>SUBTOTAL OF POINTS</b>   |               |          |               |              |
| INSURANCE AND BONDING    | 3.5.1 Statement of insurance policy with limits   |               |          | 5             |              |
|                          | 3.5.2 Statement confirming Contractor's License not revoked/suspended (past 5 years)  |               |          | 5             |              |
|                          | 3.5.3 Notarized statement of contractor's bonding capacity  |               |          | 5             |              |
|                          | 3.5.4 Surety Company (name and contact information) (5 years)   |               |          | 5             |              |
|                          | 3.5.5 Statement confirming a surety company has not completed or paid for completion of a project (10 years)  |               |          | 5             |              |
|                          | <b>SUBTOTAL OF POINTS</b>   |               |          |               |              |
| LEGAL                    | 3.6.1 Statement regarding contractor's Liquidated Damages history-assessed and/or paid (5 years)  |               |          | 5             |              |
|                          | 3.6.2 Statement of firm's history (firm or Owners) regarding disbarment, disqualification, removal, etc. from bidding or completing government agency or public works project (5 years) |               |          | 5             |              |
|                          | 3.6.3 Has firm been denied an award of public works contract based on a finding of firm was not a responsible bidder (five years)   |               |          | 5             |              |
|                          | 3.6.4 Statement of any claim against firm's work on a construction project (5 years)  |               |          | 5             |              |
|                          | 3.6.5 List of any lawsuits or administrative actions the firm is/has been a party with regard to municipal or utility work (five years)   |               |          | 5             |              |
|                          | <b>SUBTOTAL OF POINTS</b>   |               |          |               |              |

**ORANGE BEACH WATER AUTHORITY: ATTACHMENT A**  
**QUALIFICATION STATEMENT EVALUATION**

|                      |                           |   |  |  |   |  |
|----------------------|---------------------------|---|--|--|---|--|
| SAFETY               | 3.7.1 – 3.7.3             | Statement of OSHA violations/penalties for serious, willful or repeat violations (5 years); statement of OSHA violations/penalties; status of appeal (if applicable)  |  |  | 5 |  |
|                      | 3.7.4                     | Has contractor been fined, issued an order, etc. from any State of Alabama agency (ADEM, etc.)  |  |  | 5 |  |
|                      | 3.7.5-3.7.6               | Overview of firm's Safety program, safety meetings and substance abuse policy/programs  |  |  | 5 |  |
|                      | <b>SUBTOTAL OF POINTS</b> |   |  |  |   |  |
| TECHNICAL EXPERIENCE | 3.8.1                     | Provide complete description of each project completed or in construction in the water and wastewater treatment or utility construction (3 years)   |  |  | 5 |  |
|                      | 3.8.2                     | List experience with two projects over 10M\$. Provide contact information, list of equipment, supplier contact information (five years)   |  |  | 5 |  |
|                      | 3.8.3                     | Quality Assurance Policy Detail   |  |  | 5 |  |
|                      | 3.8.4                     | Methodology to maintain project schedule  |  |  | 5 |  |
|                      | 3.9                       | Name/qualification of responsible firm member, project manager and superintendent for the Utilities project. Experience of the firm's key personnel assigned to perform the work (number and type of projects). Other qualifications/certifications |  |  | 5 |  |
|                      | <b>SUBTOTAL OF POINTS</b> |   |  |  |   |  |
|                      |                           | <b>TOTAL POINTS</b>   |  |  |   |  |

Rating: 1-poor, 2-fair, 3-good, 4-excellent, 5-superior

**ORANGE BEACH WATER AUTHORITY: ATTACHMENT B**  
**BIDDER'S FINANCIAL STATEMENT**

|                          |  |
|--------------------------|--|
| <b>Firm Name:</b>        |  |
| Proposal Evaluation Form |  |
| <b>Project:</b>          | <b>ROSCOE ROAD WATER TREATMENT PLANT</b> |
| <b>Qual. Req:</b>        |  |
| <b>Date:</b>             |  |

The firm possesses adequate financial resources as indicated by the following abbreviated statement:

Financial condition at close of business \_\_\_\_\_, 20\_\_\_\_:

| <b>ASSETS:</b>   |    |
|--|----|
| (a) Cash in bank and on hand   | \$ |
| (b) Receivable-notes, open accounts & interest   | \$ |
| (c) Stocks and bonds   | \$ |
| (d) Real estate, furniture & fixtures, materials   | \$ |
| (e) Equipment  | \$ |
| (f) Other assets (names)   | \$ |
| <b>TOTAL ASSETS</b>  | \$ |
| <b>LIABILITIES:</b>  |    |
| (a) Payable-notes, open accounts & interest  | \$ |
| (b) Real estate encumbrances   | \$ |
| (c) Other encumbrances (names)   | \$ |
| (d) Reserves   | \$ |
| (e) Capital stock paid up (all classes)  | \$ |
| (f) Surplus – net worth  | \$ |
| <b>TOTAL LIABILITIES</b>   | \$ |
| In addition to the foregoing, a complete and detailed financial statement will be furnished if required. |    |